



GKB Ophthalmics Ltd.

16-A, Tivim Industrial Estate, Mapusa, Goa 403 526 (INDIA)
CIN.: L26109GA1981PLC000469

Tel. : (91 832) 2257253 / 6714444
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E-mail : gkbophthalmics@gkb.net
Website : www.gkb.net

Annexure I

FORM MGT-9

EXTRACT OF ANNUAL RETURN as on the financial year ended on 31.03.2020

[Pursuant to Section 92 (3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS :			
i.	CIN	:	L26109GA1981PLC000469
ii.	Registration Date	:	10-12-1981
iii.	Name of the Company	:	GKB OPHTHALMICS LIMITED
iv.	Category/Sub-category of the Company	:	Company having share capital
v.	Address of the Registered office & contact details	:	16-A, Tivim Industrial Estate Mapusa – Goa, 403 526 Tel No. : (0832) 2257253/6714444 Fax No.: (0832) 2257044 Email : gkbophthalmics@gkb.net Website : www.gkb.net
vi.	Whether listed company	:	Yes (Listed in Bombay Stock Exchange Ltd.)
vii.	Name, Address & Contact details of Registrar & Transfer Agent, if any	:	SHAREX DYNAMIC (INDIA) PVT. LTD. C 101, 247 Park , L BS Marg, Vikhroli West, Mumbai – 400 083. Phone: + 91 22 2851 5606/ 2851 5644. Fax : +91 22 2851 2885 Email : support@sharexindia.com Web : www.sharexindia.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY :

All the business activities contributing 10% or more of the total turnover of the company shall be stated:

Sl. No.	Name & Description of main products/services	NIC Code of the Product/Service	% to total turnover of the Company
1	Manufacture and Export of Ophthalmics Lenses	32507	100.00%

CERTIFIED BY



ISO 9001:2008



III. PARTICULARS OF HOLDING AND SUBSIDIARY COMPANIES :

Sl. No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of Shares held	Applicable Section
1	GKB Ophthalmics Products FZE 250, M2 Warehouse A3-083 SAIF ZONE, P.O. Box.9089, Sharjah – U.A.E.	UIN: PJWAZ20040413	Subsidiary Company	100.00%	Section 2 (87)
2	GSV Ophthalmics Private Limited 16-A, Tivim Industrial Estate, Mapusa – Goa.	CIN: 36990GA2018PTC013725	Subsidiary Company	100.00%	Section 2 (87)

IV. SHARE HOLDING PATTERN (Equity Shares Capital Breakup as percentage of Total Equity)

(i) Category-wise Share Holding

Category of Shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	22,46,344	--	22,46,344	48.407	24,68,611	--	24,68,611	48.975	0.568
b) Central Govt/	0	0	0	0	0	0	0	0	0
c) State Govt(s)	0	0	0	0	0	0	0	0	0
d) Bodies Corporates	0	0	0	0	0	0	0	0	0
e) Bank/FI	0	0	0	0	0	0	0	0	0
f) Any Other	0	0	0	0	0	0	0	0	0
SUB TOTAL : (A)(1)	22,46,344	--	22,46,344	48.407	24,68,611	--	24,68,611	48.975	0.568
(2) Foreign									
a) NRIs - Individuals	0	0	0	0	0	0	0	0	0
b) Other individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corporates	0	0	0	0	0	0	0	0	0
d) Bank/FI Investor	0	0	0	0	0	0	0	0	0
e) Qualified Foreign Investors	0	0	0	0	0	0	0	0	0
f) Any Other	0	0	0	0	0	0	0	0	0
SUB TOTAL : (A)(2)	0	0	0	0	0	0	0	0	0
Total Shareholding of Promoter (A)=(A)(1) + (A)(2)	22,46,344	--	22,46,344	48.407	24,68,611	--	24,68,611	48.975	0.568



Category of Shareholders	No. of shares held at the beginning of the year				No. of shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
B. Public Shareholding									
(1) Institutions									
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks/FI	19,600	--	19,600	0.422	19,600	--	19,600	0.389	-0.033
c) Central Govt.	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIs	100000	0	100000	2.155	100000	0	100000	1.984	-0.171
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
SUB TOTAL : (B)(1)	1,19,600	--	1,19,600	2.577	1,19,600	--	1,19,600	2.373	-0.204
(2) Non-institutions									
a) Bodies Corporates									
i) Indian	3,86,325	0	3,86,325	8.325	3,32,862	0	3,32,862	6.604	-1.721
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1.00 lakh	4,07,056	60,425	4,67,481	10.074	4,14,961	58,525	4,73,486	9.393	-0.681
ii) Individual shareholders holding nominal share capital in excess of Rs. 1.00 lakh	12,90,646	0	12,90,646	27.812	13,50,682	0	13,50,682	26.796	-1.016
c) Any other									
Non Resident Indians	73,482	0	73,482	1.583	70,738	0	70,738	1.403	-0.18
Overseas Corporate Bodies		0			2,00,000	0	2,00,000	3.968	3.968
Foreign National		0				0			
Clearing Members	56,702	0	56,702	1.222	24,601	0	24,601	0.488	-0.734
Trusts		0				0			0
Foreign Bodies- DR		0				0			0
SUB TOTAL : (B)(2)	22,14,211	60,425	22,74,636	49.016	23,93,844	58,525	24,52,369	48.652	-0.364
Total Public shareholding (B)=(B)(1) + (B)(2)	23,33,811	60,425	23,94,236	51.593	25,13,444	58,525	25,71,969	51.025	-0.568
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	45,80,155	60,425	46,40,580	100.00	49,82,055	58,525	50,40,580	100	0



(ii) Share holding of Promoters:

Sl. No.	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No. of shares	% of total shares of the Company	% of shares pledged / encumbered to total shares	No. of shares	% of total shares of the Company	% of shares pledged / encumbered to total shares	
1	Mr. Krishna Gopal Gupta	8,00,024	17.24	0	9,00,024	17.856	0	0.616
2	Mr. Vikram Gupta	3,73,105	8.04	0	4,23,105	8.394	0	0.354
3	Mr. Gaurav Gupta	3,57,966	7.714	0	4,07,966	8.094	0	0.38
4	Mrs. Veena Gupta	3,00,753	6.481	0	3,00,753	5.967	0	-0.514
5	Mrs. Shefali Chawla	2,42,464	5.225	0	2,42,464	4.81	0	-0.415
6	Krishna Gopal Gupta (HUF)	1,72,032	3.707	0	1,94,299	3.855	0	0.148
	TOTAL	22,46,344	48.407	0	24,68,611	48.976	0	0.569

(iii) Change in Promoter's Shareholding (please specify, if there is no change)

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Cumulative Shareholding during the year			
		No. of Shares at the beginning of the year	% of total Shares of the Company	Date	Increasing / Decreasing in shareholding	Reason	No. of shares	% of total shares of the Company
1	Mr. Krishna Gopal Gupta							
	At the beginning of the year	8,00,024	17.24	01.04.2019				
	At the end of the year			31.03.2020	100000	Buy	9,00,024	17.856
2	Mr. Vikram Gupta							
	At the beginning of the year	3,73,105	8.04	01.04.2019				
	At the end of the year			31.03.2020	50000	Buy	4,23,105	8.394
3	Mr. Gaurav Gupta							
	At the beginning of the year	3,57,966	7.714	01.04.2019				
	At the end of the year			31.03.2020	50000	Buy	4,07,966	8.094
4	Krishna Gopal Gupta HUF							
	At the beginning of the year	1,72,032	3.707	01.04.2019				
				27.12.2019	3145	Buy	1,75,177	3.775
				31.12.2019	2360	Buy	1,77,537	3.826
				03.01.2020	6024	Buy	1,83,561	3.956
				21.02.2020	76	Buy	1,83,637	3.957
				28.02.2020	1613	Buy	1,85,250	3.992
				06.03.2020	5908	Buy	1,91,158	4.119
				13.03.2020	2276	Buy	1,93,434	4.168
				20.03.2020	520	Buy	1,93,954	4.18
				27.03.2020	345	Buy	1,94,299	4.187
	At the end of the year			31.03.2020			1,94,299	3.855



(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs) :

Sr. No.	Shareholder's Name	Shareholding at the beginning of the year			Cumulative Shareholding during the year			
		No. of Shares at the beginning of the year	% of total Shares of the Company	Date	Increasing / Decreasing in shareholding	Reason	No. of shares	% of total shares of the Company
1	Mr. Krishna Murari Gupta							
	At the beginning of the year	3,28,207	7.073	01.04.2019				
	At the end of the year			31.03.2020	--	No Change	3,28,207	6.511
2	Mr. Brijendra Kumar Gupta							
	At the beginning of the year	2,44,950	5.278	01.04.2019				
	At the end of the year			31.03.2020		No Change	2,44,950	4.86
3	SOMO VISION CO. LTD.							
	At the beginning of the year	2,00,000	3.968	22.01.2020				
	At the end of the year			31.03.2020	--	No Change	2,00,000	3.968
4	Mrs. Usha Gupta							
	At the beginning of the year	1,14,707	2.472	01.04.2019				
	At the end of the year			31.03.2020	--	No Change	1,14,707	2.276
5	Polus Global Fund							
	At the beginning of the year	1,00,000	2.155	01.04.2019				
	At the end of the year			31.03.2020	--	No Change	1,00,000	1.984
6	Dhwaja Commodity Services Private Ltd							
	At the beginning of the year	72,306	1.558	01.04.2019				
	At the end of the year			31.03.2020	--	No Change	72,306	1.434
7	Mr. Sanjiv Kumar Gupta							
	At the beginning of the year	70,500	1.519	01.04.2019				
	At the end of the year			31.03.2020	--	No change	70,500	1.399
8	Mr. Lalit Gupta							
	At the beginning of the year	70,500	1.519	01.04.2019				
	At the end of the year			31.03.2020	--	No change	70,500	1.399
9	JM Financial Services							



Limited							
At the beginning of the year	70,278	1.514	01.04.2019				
			16.08.2019	100	Sold	70,178	1.512
			30.09.2019	800	Sold	69,378	1.495
			08.11.2019	50,000	Buy	1,19,378	2.572
			15.11.2019	-47,758	Sold	71,620	1.543
			22.11.2019	-2,242	Sold	69,378	1.495
			06.12.2019	10	Buy	69,388	1.495
			13.12.2019	90	Buy	69,478	1.497
			27.12.2019	700	Buy	70,178	1.512
			31.12.2019	9911	Buy	80,089	1.726
			03.01.2020	-19,881	Sold	60,208	1.297
			10.01.2020	-25	Sold	60,183	1.297
			17.01.2020	-49,146	Sold	11,037	0.238
			24.01.2020	-11,010	Sold	27	0.001
			31.01.2020	10	Buy	37	0.001
			07.02.2020	-10	Sold	27	0.001
			14.02.2020	511	Buy	538	0.012
			28.02.2020	163	Buy	701	0.015
			06.03.2020	-700	Sold	1	0
			13.03.2020	14,500	Buy	14,501	0.312
			20.03.2020	14,999	Buy	29,500	0.636
			27.03.2020	5,000	Buy	34,500	0.743
At the end of the year			31.03.2020	-9,999	Sold	24,501	0.486
10 Mr. Rajiv Junjhunwala							
At the beginning of the year	66,668	1.437	01.04.2019				
At the end of the year			31.03.2020		No change	66,668	1.323
11 Jyotivardhan Jaipuria							
At the beginning of the year	66,667	1.437	01.04.2019				
At the end of the year			31.03.2020			66,667	1.323

v) Shareholding of Directors and Key Managerial Personnel :

Sr. No.		Shareholding at the beginning of the year			Cumulative Shareholding during the year			
	For each of the Directors and KMP	No. of Shares at the beginning of the year	% of total Shares of the Company	Date	Increasing / Decreasing in shareholding	Reason	No. of shares	% of total shares of the Company
1	Mr. Krishna Gopal Gupta							
	At the beginning of the year	8,00,024	17.240	01.04.2019	--	--	--	--
	At the end of the year	--	--	31.03.2020	1,00,000	Conversion of warrants into Equity Shares	9,00,024	17.856
2	Mr. Vikram Gupta							



	At the beginning of the year	3,73,105	8.040	01.04.2019	--	--	--	--
	At the end of the year	--	--	31.03.2020	50,000	Conversion of warrants into Equity Shares	4,23,105	8.394
3	Mr. Gaurav Gupta *							
	At the beginning of the year	3,57,966	7.714	01.04.2019	--	--	--	--
	At the end of the year	--	--	31.03.2020	50,000	Conversion of warrants into Equity Shares	4,07,966	8.094
4	Mr. Anil Palekar	--	--	--	--	--	--	--
5	Mr. Sadashiv Shet	--	--	--	--	--	--	--
6	Mr. Joseph A.A. D'Costa	--	--	--	--	--	--	--
7	Mrs. Shashi K. Katreddi	--	--	--	--	--	--	--
8	Mr. Subhash Redkar**	--	--	--	--	--	--	--
9	Mr. Prakash V. Joshi***	--	--	--	--	--	--	--
	At the beginning of the year	1,200	0.026	01.04.2019	--	--	--	--
	At the end of the year	--	--	--	--	--	1,210	0.023
10	Mr. Gurudas Sawant, CFO ****							
	At the beginning of the year	1840	0.039	01.04.2019				
	At the end of the year	--	--	31.03.2020	--	--	1,840	0.036
11	Ms. Pooja Bicholkar Company Secretary *****	--	--	--	--	--	--	--

* Resigned w.e.f April 20, 2019

** Appointed w.e.f. August 14, 2019

*** Resigned w.e.f. November 12, 2019

**** Appointed as CFO w.e.f. April 01, 2019

***** Appointed as Company Secretary w.e.f. April 01, 2019

V. INDEBTEDNESS :

Indebtedness of the Company including interest outstanding/accrued but not due for payment

				Rs. in lakhs
Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total indebtedness
Indebtedness at the beginning of the year				
i) Principal Amount	1000.00	--	--	1000.00
ii) Interest due but not paid	3.61	--	--	3.61
iii) Interest accrued but not due	--	--	--	--
Total (i+ii+iii)	1003.61			1003.61
Change in Indebtedness during the financial year				
* Addition	--	--	--	--
* Reduction	4.49	--	--	4.49
Net Change	4.49	--	--	4.49
Indebtedness at the end of the year				
i) Principal Amount	989.92	--	--	989.92
ii) Interest due but not paid	9.20	--	--	9.20
iii) Interest accrued but not due	--	--	--	--
Total (i+ii+iii)	999.12	--	--	999.12



VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL :

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. No.	Particulars of Remuneration	Name of MD/WTD/Manager		Rs. in lakhs
		Mr. K. G Gupta, Managing Director	Mr. Subhash Redkar Director*	Total Amount
1	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income- Tax Act, 1961	30.00	5.87	35.87
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	10.30	0.6	10.9
	(c) Profits in lieu of salary under Section 17 (3) Income -tax Act, 1961	--	--	--
2	Stock Option	--	--	--
3	Sweat Equity	--	--	--
4	Commission - as % of profit - others, specify	--	--	--
5	Others, please specify	--	--	--
	Total (A)	40.30	6.47	46.77
	Ceiling as per the Act	5% of the net profits of the Company		

* Mr. Subhash Redkar was appointed as Executive Director w.e.f. August 14, 2019.

B. Remuneration to other Directors :

Sl. No.	Particulars of Remuneration	Name of Directors				Rs. in lakhs
		Mr. Anil Palekar	Mr. Sadashiv Shet	Mr. Joseph A.A. D'Costa	Mrs. Shashi K. Katreddi	Total Amount
1	Independent Directors					
	* Fee for attending board/ committee meetings	1.30	1.30	1.10	0.40	4.10
	* Commission	--	--	--	--	--
	* Others, please specify	--	--	--	--	--
	Total (1)	1.30	1.30	1.10	0.40	4.10
Sl. No.	Particulars of Remuneration	Name of Directors				Total Amount
		Mr. Vikram Gupta	Mr. Gaurav Gupta *	Mr. Prakash V. Joshi **		
2	Other Non - Executive Directors					
	* Fee for attending board /committee meetings	0.10	--	0.20		0.30
	* Commission	--	--	--		--
	* Others, please specify	--	--	--		--
	Total (2)	0.10	--	0.20		0.30
	Total (B)= (1+2)					4.40
	Total Managerial Remuneration (A+B)					51.17
	Over all Ceiling as per the Act	11% of the net profits of the Company				

*Resigned w.e.f. April 20, 2019

** Resigned as a Non Executive Director w.e.f. November 12, 2019



C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD :

Rs. in lakhs

Sl. No.	Particulars of Remuneration	Key Managerial Personnel		Total
		Mr. Gurudas Sawant, CFO	Ms. Pooja Bicholkar, Company Secretary	
1	Gross Salary (a) Salary as per provisions contained in Section 17(1) of the Income- Tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary under Section 17(3) Income-tax Act, 1961	10.04	4.27	14.31
2	Stock Option	--	--	--
3	Sweat Equity	--	--	--
4	Commission - as % of profit - others, specify	-- --	-- --	-- --
5	Others, please specify	--	--	--
	Total	10.04	4.27	14.31

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES :

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD/NCLT/ Court]	Appeal made, if any (give details)
A. COMPANY					
Penalty Punishment Compounding		 NIL		
B. DIRECTORS					
Penalty Punishment Compounding		 NIL		
C. OTHER OFFICERS IN DEFAULT					
Penalty Punishment Compounding		 NIL		

