

**Holding of specified securities
Annexure - I**

1. Name of Listed Entity :	GKB Ophthalmics Ltd.
2. Scrip Code/Name of Scrip/Class of Security :	533212 / Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Regulation 31 (1) (b)
a. If under 31(1)(b) then indicate the report for Quarter ending	30-09-2019
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
Particulars	Yes*/No*
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	Yes
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	Yes
6 Whether any shares held by promoters are pledge or otherwise encumbered?	No
7. Whether company has equity shares with differential voting rights?	No
8. Whether the listed entity has any significant beneficial owner?	No
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.	

Name of the Company : GKB Ophthalmics Ltd.
 Script Code : Equity
 As on : 30-09-2019

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+ C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:X	Class eg:Y	Total								
(A)	Promoter & Promoter Group	6	2246344			2246344	48.41	2246344		2246344	48.41	200000	45.38	0	0			2246344
(B)	Public	1221	2394236			2394236	51.59	2394236		2394236	51.59	550000	54.62	487000	20.34			2335711
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	1227	4640580			4640580	100	4640580		4640580	100	750000	100	487000	10.49			4582055

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class X	Class Y	Total								
-1	Indian		0	0		0		0		0								
(a)	Individuals/Hindu undivided Family	6	2246344	0		2246344	48.41	2246344		2246344	48.41	200000	45.38	0	0.00			2246344
	KRISHNA GOPAL GUPTA HUF	1	172032	0		172032	3.71	172032		172032	3.71	0	3.19					172032
	SHEFALI CHAWLA	1	242464	0		242464	5.22	242464		242464	5.22	0	4.5					242464
	VEENA GUPTA	1	300753	0		300753	6.48	300753		300753	6.48	0	5.58					300753
	GAURAV GUPTA	1	357966	0		357966	7.71	357966		357966	7.71	50000	7.57					357966
	VIKRAM GUPTA	1	373105	0		373105	8.04	373105		373105	8.04	50000	7.85					373105
	KRISHNA GOPAL GUPTA	1	800024	0		800024	17.24	800024		800024	17.24	100000	16.7					800024
(b)	Central Government/ State Government(s)		0	0		0		0		0								
(c)	Financial Institutions/ Banks		0	0		0		0		0								
(d)	Any Other (specify)		0	0		0		0		0								
Sub-Total (A)(1)		6	2246344	0		2246344	48.41	2246344		2246344	48.41	200000	45.38	0	0.00			2246344
-2	Foreign		0	0		0		0		0								
(a)	Individuals (NonResident Individuals/ Foreign Individuals)		0	0		0		0		0								
(b)	Government		0	0		0		0		0								
(c)	Institutions		0	0		0		0		0								
(d)	Foreign Portfolio Investor		0	0		0		0		0								
(e)	Any Other (specify)		0	0		0		0		0								
Sub-Total (A)(2)			0	0		0		0		0								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	2246344	0		2246344	48.41	2246344		2246344	48.41	200000	45.38	0	0.00			2246344
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s).(2) The term Encumbrance has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																		

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	ClassY	Total								
-1	Institutions		0	0		0		0										
(a)	Mutual Funds		0	0		0		0										
(b)	Venture Capital Funds		0	0		0		0										
(c)	Alternate Investment Funds		0	0		0		0										
(d)	Foreign Venture Capital Investors		0	0		0		0										
(e)	Foreign Portfolio Investors	1	100000	0		100000	2.15	100000	100000	2.15	200000	5.57	100000	100				100000
	POLUS GLOBAL FUND	1	100000	0		100000	2.15	100000	100000	2.15	0	1.86	100000	100				100000
(f)	Financial Institutions/ Banks	1	19600	0		19600	0.42	19600	19600	0.42	0	0.36	0	0				19600
(g)	Insurance Companies		0	0		0		0										
(h)	Provident Funds/ Pension Funds		0	0		0		0										
(i)	Any Other (specify)		0	0		0		0										
Sub-Total (B)(1)		2	119600	0		119600	2.58	119600	119600	2.58	200000	5.93	100000	83.61				119600
-2	Central Government/ State Government(s)/ President of India		0	0		0		0										
Sub-Total (B)(2)			0	0		0		0										
-3	Non-institutions		0	0		0		0										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1097	475160	0		475160	10.24	475160	475160	10.24	0	8.81	15000	3.157				416635
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	15	1224482	0		1224482	26.39	1224482	1224482	26.39	298332	28.25	265668	21.7				1224482
	CHETAN GOPALDAS CHOLERA	1	49000	0		49000	1.06	49000	49000	1.06	0	0.91	49000	100				49000
	PRABHA MOHTA	1	50000	0		50000	1.08	50000	50000	1.08	0	0.93	50000	100				50000
	JYOTIVARDHAN JAIPURIA	1	66667	0		66667	1.44	66667	66667	1.44	0	1.24	66667	100				66667
	RAJ KUMAR AGARWAL	1	66667	0		66667	1.44	66667	66667	1.44	0	1.24	66667	100				66667
	LALIT KUMAR GUPTA	1	70500	0		70500	1.52	70500	70500	1.52	0	1.31	0	0				70500
	SANJIV KUMAR GUPTA	1	70500	0		70500	1.52	70500	70500	1.52	0	1.31	0	0				70500
	USHA GUPTA .	1	114707	0		114707	2.47	114707	114707	2.47	0	2.13	0	0				114707
	BRIJENDRA KUMAR GUPTA	1	244950	0		244950	5.28	244950	244950	5.28	0	4.54	0	0				244950
	KRISHNA MURARI GUPTA	1	328207	0		328207	7.07	328207	328207	7.07	0	6.09	0	0				328207
(b)	NBFCs registered with RBI	1	1000	0		1000	0.02	1000	1000	0.02	0	0.02	0	0				1000
(c)	Employee Trusts		0	0		0		0										
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0		0		0										
(e)	Any Other (specify)	106	573994	0		573994	12.37	573994	573994	12.37	51668	11.61	106332	18.52				573994
	BMA WEALTH CREATORS LTD	1	52315	0		52315	1.13	52315	52315	1.13	0	0.97	0	0				52315
	NEW MILLENIUM TECHNOLOGY MANAGEMENT	1	55265	0		55265	1.19	55265	55265	1.19	0	1.03	0	0				55265
	RAJIV JHUNJHUNWALA	1	66668	0		66668	1.44	66668	66668	1.44	33332	1.86	66668	100				66668
	JM FINANCIAL SERVICES LIMITED	1	69378	0		69378	1.5	69378	69378	1.5	0	1.29	0	0				69378
	HUF	52	70785	0		70785	1.53	70785	70785	1.53	18336	1.65	39664	56.03				70785
	DHWAJA COMMODITY SERVICES PRIVATE L	1	72306	0		72306	1.56	72306	72306	1.56	0	1.34	0	0				72306
	Non-Resident Indian (NRI)	16	73313	0		73313	1.58	73313	73313	1.58	33332	1.98	66668	90.94				73313
	Clearing Members	4	104560	0		104560	2.25	104560	104560	2.25	0	1.94	0	0				104560
	Bodies Corporate	34	325336	0		325336	7.01	325336	325336	7.01	0	6.04	0	0				325336
Sub-Total (B)(3)		1219	2274636	0		2274636	49.02	2274636	2274636	49.02	350000	48.69	387000	17.01				2216111
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1221	2394236	0		2394236	51.59	2394236	2394236	51.59	550000	54.62	487000	20.34				2335711

	Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed
	suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1%
	of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

