

**Holding of specified securities
Annexure - I**

1. Name of Listed Entity :	GKB Ophthalmics Limited
2. Scrip Code/Name of Scrip/Class of Security :	533212 / Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Regulation 31 (1) (b)
a. If under 31(1)(b) then indicate the report for Quarter ending	31-12-2020
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
Particulars	Yes*/No*
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	Yes
6 Whether any shares held by promoters are pledge or otherwise encumbered?	No
7. Whether company has equity shares with differential voting rights?	No
8. Whether the listed entity has any significant beneficial owner?	No
9. Whether the listed entity is Public Sector Undertaking (PSU)?	No
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.	

Name of the Company : GKB Ophthalmics Limited
 Script Code : Equity
 As on : 31-12-2020

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+ C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:X	Class eg:Y	Total								
(A)	Promoter & Promoter Group	6	2496303			2496303	49.52	2496303		2496303	49.52		200000	8.01	0	0	2496303	
(B)	Public	1179	2544277			2544277	50.48	2544277		2544277	50.48		200000	7.86			2485752	
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	1185	5040580			5040580	100	5040580		5040580	100		400000	7.94	0	0	4982055	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
-1	Indian		0	0		0	0		0									
(a)	Individuals/Hindu undivided Family	6	2496303	0		2496303	49.52	2496303	2496303	49.52		49.52	200000	8.01	0	0.00		2496303
	KRISHNAGOPAL GUPTA AND SONS	1	221891	0		221891	4.4	221891	221891	4.40		4.4	0	0.00	0	0.00		221891
	SHEFALI CHAWLA	1	242464	0		242464	4.81	242464	242464	4.81		4.81	0	0.00	0	0.00		242464
	VEENA GUPTA	1	300753	0		300753	5.97	300753	300753	5.97		5.97	0	0.00	0	0.00		300753
	GAURAV GUPTA	1	407966	0		407966	8.09	407966	407966	8.09		8.09	50000	12.26	0	0.00		407966
	VIKRAM GUPTA	1	423105	0		423105	8.39	423105	423105	8.39		8.39	50000	11.82	0	0.00		423105
	KRISHNA GOPAL GUPTA	1	900124	0		900124	17.86	900124	900124	17.86		17.86	100000	11.11	0	0.00		900124
(b)	Central Government/ State Government(s)		0	0		0		0	0									
(c)	Financial Institutions/ Banks		0	0		0		0	0									
(d)	Any Other (specify)		0	0		0		0	0									
Sub-Total (A)(1)		6	2496303	0		2496303	49.52	2496303	2496303	49.52		49.52	200000	8.01	0	0.00		2496303
-2	Foreign		0	0		0		0	0									
(a)	Individuals (NonResident Individuals/ Foreign Individuals)		0	0		0		0	0									
(b)	Government		0	0		0		0	0									
(c)	Institutions		0	0		0		0	0									
(d)	Foreign Portfolio Investor		0	0		0		0	0									
(e)	Any Other (specify)		0	0		0		0	0									
Sub-Total (A)(2)			0	0		0		0	0									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	2496303	0		2496303	49.52	2496303	2496303	49.52		49.52	200000	8.01	0	0.00		2496303

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s).(2) The term Encumbrance has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
-1	Institutions		0	0		0		0										
(a)	Mutual Funds		0	0		0		0										
(b)	Venture Capital Funds		0	0		0		0										
(c)	Alternate Investment Funds		0	0		0		0										
(d)	Foreign Venture Capital Investors		0	0		0		0										
(e)	Foreign Portfolio Investors	1	100000	0		100000	1.98	100000	100000	1.98	1.98	0	0					100000
	POLUS GLOBAL FUND	1	100000	0		100000	1.98	100000	100000	1.98	1.98	0	0					100000
(f)	Financial Institutions/ Banks	1	19600	0		19600	0.39	19600	19600	0.39	0.39	0	0					19600
(g)	Insurance Companies		0	0		0		0	0									
(h)	Provident Funds/ Pension Funds		0	0		0		0	0									
(i)	Any Other (specify)		0	0		0		0	0									
Sub-Total (B)(1)		2	119600	0		119600	2.37	119600	119600	2.37	2.37	0	0					119600
-2	Central Government/ State Government(s)/ President of India		0	0		0		0	0									
Sub-Total (B)(2)			0	0		0		0	0									
-3	Non-institutions		0	0		0		0	0									
(a)(i)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1059	459092	0		459092	9.11	459092	459092	9.11	9.11	0	0					400567
(a)(ii)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	16	1269840	0		1269840	25.19	1269840	1269840	25.19	25.19	0	0					1269840
	JYOTIVARDHAN JAIPURIA	1	66667	0		66667	1.32	66667	66667	1.32	1.32	0	0					66667
	RAJ KUMAR AGARWAL	1	66667	0		66667	1.32	66667	66667	1.32	1.32	0	0					66667
	LALIT KUMAR GUPTA	1	70500	0		70500	1.4	70500	70500	1.4	1.4	0	0					70500
	SANJIV KUMAR GUPTA	1	70500	0		70500	1.4	70500	70500	1.4	1.4	0	0					70500
	USHA GUPTA .	1	114707	0		114707	2.28	114707	114707	2.28	2.28	0	0					114707
	BRIJENDRA KUMAR GUPTA	1	244950	0		244950	4.86	244950	244950	4.86	4.86	0	0					244950
	KRISHNA MURARI GUPTA	1	328207	0		328207	6.51	328207	328207	6.51	6.51	0	0					328207
(b)	NBFCs registered with RBI	2	1500	0		1500	0.03	1500	1500	0.03	0.03	0	0					1500
(c)	Employee Trusts		0	0		0		0	0									
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0		0		0	0									
(e)	Any Other (specify)	100	694245	0		694245	13.77	694245	694245	13.77	13.77	200000	28.81					694245
	Clearing Members	8	4609	0		4609	0.09	4609	4609	0.09	0.09	0	0					4609
	NEW MILLENIUM TECHNOLOGY MANAGEMENT PRIVATE LIMITED	1	51919	0		51919	1.03	51919	51919	1.03	1.03	0	0					51919
	Raviraj Developers Ltd	1	61994	0		61994	1.23	61994	61994	1.23	1.23	0	0					61994
	RAJIV JHUNJHUNWALA	1	66668	0		66668	1.32	66668	66668	1.32	1.32	0	0					66668
	HUF	45	67730	0		67730	1.34	67730	67730	1.34	1.34	0	0					67730
	Non-Resident Indian (NRI)	12	69989	0		69989	1.39	69989	69989	1.39	1.39	0	0					69989
	DHWAJA COMMODITY SERVICES PRIVATE LIMITED	1	72306	0		72306	1.43	72306	72306	1.43	1.43	0	0					72306
	Overseas Corporate Bodies	1	200000	0		200000	3.97	200000	200000	3.97	3.97	200000	100					200000
	SOMO VISION CO. LTD.	1	200000	0		200000	3.97	200000	200000	3.97	3.97	200000	100					200000
	Bodies Corporate	34	351917	0		351917	6.98	351917	351917	6.98	6.98	0	0					351917
Sub-Total (B)(3)		1177	2424677	0		2424677	48.1	2424677	2424677	48.1	48.1	200000	8.25					2366152
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1179	2544277	0		2544277	50.48	2544277	2544277	50.48	50.48	200000	7.86					2485752

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1)PAN would not be displayed on website of Stock Exchange(s). (2)The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3)W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
								Class X	Class Y	Total									
1	Custodian/DR Holder																		
(a)	Name of DR Holder (if available)																		
	Sub-Total [C](1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
(a)	Name (abc...																		
	Sub-Total [C](2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)=[C](1) +[C](2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<p>Note (1) PAN would not be displayed on website of Stock Exchange(s).(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,</p>																			

Table V - Statement showing of significant beneficial owners (SBOs)

Sr. No.	Details of the significant beneficial owners (i)		Details of the registered owner (ii)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (iii)	Date of creation / acquisition of significant beneficial interest (iv)
	Name	Nationality	Name	Nationality	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 As as % of (A+B+C2)	