

**Holding of specified securities  
Annexure - I**

1. Name of Listed Entity :	<b>GKB Ophthalmics Limited</b>
2. Scrip Code/Name of Scrip/Class of Security :	<b>533212 / Equity</b>
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	<b>Regulation 31 (1) (b)</b>
a. If under 31(1)(b) then indicate the report for Quarter ending	<b>31-03-2021</b>
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
<b>Particulars</b>	<b>Yes*/No*</b>
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	Yes
6 Whether any shares held by promoters are pledge or otherwise encumbered?	No
7. Whether company has equity shares with differential voting rights?	No
8. Whether the listed entity has any significant beneficial owner?	No
9. Whether the listed entity is Public Sector Undertaking (PSU)?	No
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.	

Name of the Company : GKB Ophthalmics Limited  
 Script Code : Equity  
 As on : 31-03-2021

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+ C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:X	Class eg:Y	Total								
(A)	Promoter & Promoter Group	10	2996218			2996218	59.44	2996218		2996218	59.44		200000	6.68			2996218	
(B)	Public	1175	2044362			2044362	40.56	2044362		2044362	40.56		200000	9.78			1985837	
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>Total</b>	<b>1185</b>	<b>5040580</b>			<b>5040580</b>	<b>100</b>	<b>5040580</b>		<b>5040580</b>	<b>100</b>		<b>400000</b>	<b>7.94</b>			<b>4982055</b>	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares under Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
								Class X	Class Y	Total									
-1	Indian		0	0		0		0											
(a)	Individuals/Hindu undivided Family	10	2996218	0		2996218	59.44	2996218		2996218	59.44	59.44	200000	6.68					2996218
	ADITYA GUPTA .	1	16340	0		16340	0.32	16340		16340	0.32	0.32	0	0.00					16340
	VIVEK GUPTA .	1	25055	0		25055	0.5	25055		25055	0.50	0.5	0	0.00					25055
	USHA GUPTA .	1	114707	0		114707	2.28	114707		114707	2.28	2.28	0	0.00					114707
	KRISHNAGOPAL GUPTA AND SONS	1	238209	0		238209	4.73	238209		238209	4.73	4.73	0	0.00					238209
	SHEFALI CHAWLA	1	242464	0		242464	4.81	242464		242464	4.81	4.81	0	0.00					242464
	VEENA GUPTA	1	300753	0		300753	5.97	300753		300753	5.97	5.97	0	0.00					300753
	KRISHNA MURARI GUPTA	1	327207	0		327207	6.49	327207		327207	6.49	6.49	0	0.00					327207
	GAURAV GUPTA	1	407966	0		407966	8.09	407966		407966	8.09	8.09	50000	12.26					407966
	VIKRAM GUPTA	1	423105	0		423105	8.39	423105		423105	8.39	8.39	50000	11.82					423105
	KRISHNA GOPAL GUPTA	1	900412	0		900412	17.86	900412		900412	17.86	17.86	100000	11.11					900412
(b)	Central Government/ State Government(s)		0	0		0		0		0									
(c)	Financial Institutions/ Banks		0	0		0		0		0									
(d)	Any Other (specify)		0	0		0		0		0									
Sub-Total (A)(1)		10	2996218	0		2996218	59.44	2996218		2996218	59.44	59.44	200000	6.68					2996218
-2	Foreign		0	0		0		0		0									
(a)	Individuals (Non Resident Individuals/ Foreign Individuals)		0	0		0		0		0									
(b)	Government		0	0		0		0		0									
(c)	Institutions		0	0		0		0		0									
(d)	Foreign Portfolio Investor		0	0		0		0		0									
(e)	Any Other (specify)		0	0		0		0		0									
Sub-Total (A)(2)			0	0		0		0		0									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		10	2996218	0		2996218	59.44	2996218		2996218	59.44	59.44	200000	6.68					2996218

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s).(2) The term Encumbrance has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	ClassY	Total								
-1	Institutions		0	0		0		0										
(a)	Mutual Funds		0	0		0		0										
(b)	Venture Capital Funds		0	0		0		0										
(c)	Alternate Investment Funds		0	0		0		0										
(d)	Foreign Venture Capital Investors		0	0		0		0										
(e)	Foreign Portfolio Investors	1	100000	0		100000	1.98	100000		100000	1.98		1.98	0	0			100000
	POLUS GLOBAL FUND	1	100000	0		100000	1.98	100000		100000	1.98		1.98	0	0			100000
(f)	Financial Institutions/ Banks	1	19600	0		19600	0.39	19600		19600	0.39		0.39	0	0			19600
(g)	Insurance Companies		0	0		0		0		0								
(h)	Provident Funds/ Pension Funds		0	0		0		0		0								
(i)	Any Other (specify)		0	0		0		0		0								
	Sub-Total (B)(1)	2	119600	0		119600	2.37	119600		119600	2.37		2.37	0	0			119600
-2	Central Government/ State Government(s)/ President of India		0	0		0		0		0								
	Sub-Total (B)(2)		0	0		0		0		0								
-3	Non-institutions		0	0		0		0		0								
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1056	441554	0		441554	8.76	441554		441554	8.76		8.76	0	0			383029
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	12	764648	0		764648	15.17	764648		764648	15.17		15.17	0	0			764648
	JYOTIVARDHAN JAIPURIA	1	66667	0		66667	1.32	66667		66667	1.32		1.32	0	0			66667
	RAJ KUMAR AGARWAL	1	66667	0		66667	1.32	66667		66667	1.32		1.32	0	0			66667
	LALIT KUMAR GUPTA	1	70500	0		70500	1.4	70500		70500	1.4		1.4	0	0			70500
	SANJIV KUMAR GUPTA	1	70500	0		70500	1.4	70500		70500	1.4		1.4	0	0			70500
	BRIJENDRA KUMAR GUPTA	1	244950	0		244950	4.86	244950		244950	4.86		4.86	0	0			244950
(b)	NBFCs registered with RBI	2	1500	0		1500	0.03	1500		1500	0.03		0.03	0	0			1500
(c)	Employee Trusts		0	0		0		0		0								
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0		0		0		0								
(e)	Any Other (specify)	103	717060	0		717060	14.23	717060		717060	14.23		14.23	200000	27.89			717060
	Clearing Members	13	2946	0		2946	0.06	2946		2946	0.06		0.06	0	0			2946
	NEW MILLENIUM TECHNOLOGY MANAGEMENT PRIVATE LIMITED	1	52973	0		52973	1.05	52973		52973	1.05		1.05	0	0			52973
	RAJIV JHUNJHUNWALA	1	66668	0		66668	1.32	66668		66668	1.32		1.32	0	0			66668
	HUF	44	68420	0		68420	1.36	68420		68420	1.36		1.36	0	0			68420
	Non-Resident Indian (NRI)	11	69739	0		69739	1.38	69739		69739	1.38		1.38	0	0			69739
	DHWAJA COMMODITY SERVICES PRIVATE LIMITED	1	72306	0		72306	1.43	72306		72306	1.43		1.43	0	0			72306
	Raviraj Developers Ltd	1	81438	0		81438	1.62	81438		81438	1.62		1.62	0	0			81438
	Overseas Corporate Bodies	1	200000	0		200000	3.97	200000		200000	3.97		3.97	200000	100			200000
	SOMO VISION CO. LTD.	1	200000	0		200000	3.97	200000		200000	3.97		3.97	200000	100			200000
	Bodies Corporate	34	375955	0		375955	7.46	375955		375955	7.46		7.46	0	0			375955
	Sub-Total (B)(3)	1173	1924762	0		1924762	38.19	1924762		1924762	38.19		38.19	200000	10.39			1866237
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	1175	2044362	0		2044362	40.56	2044362		2044362	40.56		40.56	200000	9.78			1985837

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1)PAN would not be displayed on website of Stock Exchange(s). (2)The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3)W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class X	Class Y	Total								
1	Custodian/DR Holder																	
(a)	Name of DR Holder (if available)																	
	Sub-Total [C](1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a)	Name (abc...																	
	Sub-Total [C](2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)=[C](1) +[C](2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Note (1) PAN would not be displayed on website of Stock Exchange(s).(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,																		

**Table V - Statement showing of significant beneficial owners (SBOs)**

Sr. No.	Details of the significant beneficial owners (i)		Details of the registered owner (ii)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (iii)	Date of creation / acquisition of significant beneficial interest (iv)
	Name	Nationality	Name	Nationality	Shareholding as a % of total no of shares ( calculated as per SCRR, 1957 As as % of (A+B+C2)	