

**Holding of specified securities  
Annexure - I**

1. Name of Listed Entity	<b>GKB Ophthalmics Ltd.</b>
2. Scrip Code/Name of Scrip/Class of Security	<b>533212 / Equity</b>
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	<b>Regulation 31 (1) (b)</b>
a. If under 31(1)(b) then indicate the report for Quarter ending	<b>31-03-2020</b>
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
<b>Particulars</b>	<b>Yes*/No*</b>
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	No
6 Whether any shares held by promoters are pledge or otherwise encumbered?	No
7. Whether company has equity shares with differential voting rights?	No
8. Whether the listed entity has any significant beneficial owner?	No
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.	



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class X	Class Y	Total								
-1	Indian		0	0		0		0										
(a)	Individuals/Hindu undivided Family	6	2468611	0		2468611	48.97	2468611		2468611	48.97	48.97						2468611
	KRISHNAGOPAL GUPTA AND SONS HUF	1	194299	0		194299	3.85	194299		194299	3.85	3.85						194299
	SHEFALI CHAWLA	1	242464	0		242464	4.81	242464		242464	4.81	4.81						242464
	VEENA GUPTA	1	300753	0		300753	5.97	300753		300753	5.97	5.97						300753
	GAURAV GUPTA	1	407966	0		407966	8.09	407966		407966	8.09	8.09						407966
	VIKRAM GUPTA	1	423105	0		423105	8.39	423105		423105	8.39	8.39						423105
	KRISHNA GOPAL GUPTA	1	900024	0		900024	17.86	900024		900024	17.86	17.86						900024
(b)	Central Government/ State Government(s)		0	0		0		0		0								
(c)	Financial Institutions/ Banks		0	0		0		0		0								
(d)	Any Other (specify)		0	0		0		0		0								
Sub-Total (A)(1)		6	2468611	0		2468611	48.97	2468611		2468611	48.97	48.97						2468611
-2	Foreign		0	0		0		0		0								
(a)	Individuals (NonResident Individuals/ Foreign Individuals)		0	0		0		0		0								
(b)	Government		0	0		0		0		0								
(c)	Institutions		0	0		0		0		0								
(d)	Foreign Portfolio Investor		0	0		0		0		0								
(e)	Any Other (specify)		0	0		0		0		0								
Sub-Total (A)(2)			0	0		0		0		0								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	2468611	0		2468611	48.97	2468611		2468611	48.97	48.97						2468611
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s).(2) The term Encumbrance has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																		

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	ClassY	Total								
-1	Institutions		0	0		0		0										
(a)	Mutual Funds		0	0		0		0										
(b)	Venture Capital Funds		0	0		0		0										
(c)	Alternate Investment Funds		0	0		0		0										
(d)	Foreign Venture Capital Investors		0	0		0		0										
(e)	Foreign Portfolio Investors	1	100000	0		100000	1.98	100000	100000	1.98		1.98					100000	
	POLUS GLOBAL FUND	1	100000	0		100000	1.98	100000	100000	1.98		1.98					100000	
(f)	Financial Institutions/ Banks	1	19600	0		19600	0.39	19600	19600	0.39		0.39					19600	
(g)	Insurance Companies		0	0		0		0	0									
(h)	Provident Funds/ Pension Funds		0	0		0		0	0									
(i)	Any Other (specify)		0	0		0		0	0									
Sub-Total (B)(1)		2	119600	0		119600	2.37	119600	119600	2.37		2.37					119600	
-2	Central Government/ State Government(s)/ President of India		0	0		0		0	0									
Sub-Total (B)(2)			0	0		0		0	0									
-3	Non-institutions		0	0		0		0	0									
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1071	481202	0		481202	9.55	481202	481202	9.55		9.55					422677	
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	16	1272379	0		1272379	25.24	1272379	1272379	25.24		25.24					1272379	
	JYOTIVARDHAN JAIPURIA	1	66667	0		66667	1.32	66667	66667	1.32		1.32					66667	
	RAJ KUMAR AGARWAL	1	66667	0		66667	1.32	66667	66667	1.32		1.32					66667	
	LALIT KUMAR GUPTA	1	70500	0		70500	1.4	70500	70500	1.4		1.4					70500	
	SANJIV KUMAR GUPTA	1	70500	0		70500	1.4	70500	70500	1.4		1.4					70500	
	USHA GUPTA .	1	114707	0		114707	2.28	114707	114707	2.28		2.28					114707	
	BRIJENDRA KUMAR GUPTA	1	244950	0		244950	4.86	244950	244950	4.86		4.86					244950	
	KRISHNA MURARI GUPTA	1	328207	0		328207	6.51	328207	328207	6.51		6.51					328207	
(b)	NBFCs registered with RBI	1	1000	0		1000	0.02	1000	1000	0.02		0.02					1000	
(c)	Employee Trusts		0	0		0		0	0									
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0		0		0	0									
(e)	Any Other (specify)	108	697788	0		697788	13.84	697788	697788	13.84		13.84					697788	
	Clearing Members	2	24601	0		24601	0.49	24601	24601	0.49		0.49					24601	
	RAJIV JHUNJHUNWALA	1	66668	0		66668	1.32	66668	66668	1.32		1.32					66668	
	HUF	52	70587	0		70587	1.4	70587	70587	1.4		1.4					70587	
	Non-Resident Indian (NRI)	15	70738	0		70738	1.4	70738	70738	1.4		1.4					70738	
	DHWAJA COMMODITY SERVICES PRIVATE L	1	72306	0		72306	1.43	72306	72306	1.43		1.43					72306	
	Overseas Corporate Bodies	1	200000	0		200000	3.97	200000	200000	3.97		3.97					200000	
	SOMO VISION CO. LTD.	1	200000	0		200000	3.97	200000	200000	3.97		3.97					200000	
	Bodies Corporate	38	331862	0		331862	6.58	331862	331862	6.58		6.58					331862	
Sub-Total (B)(3)		1196	2452369	0		2452369	48.65	2452369	2452369	48.65		48.65					2393844	
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1198	2571969	0		2571969	51.03	2571969	2571969	51.03		51.03					2513444	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																		

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
								Class X	Class Y	Total									
1	Custodian/DR Holder																		
(a)	Name of DR Holder (if available)																		
	Sub-Total [C](1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																		
(a)	Name (abc...																		
	Sub-Total [C](2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)=[C](1) +[C](2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
<p>Note (1) PAN would not be displayed on website of Stock Exchange(s).(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,</p>																			

**Table V - Statement showing of significant beneficial owners (SBOs)**

Sr. No.	Details of the significant beneficial owners (i)		Details of the registered owner (ii)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (iii)	Date of creation / acquisition of significant beneficial interest (iv)
	Name	Nationality	Name	Nationality	Shareholding as a % of total no of shares ( calculated as per SCRR, 1957 As as % of (A+B+C2)	