

**Holding of specified securities
Annexure - I**

1. Name of Listed Entity	GKB Ophthalmics Ltd.
2. Scrip Code/Name of Scrip/Class of Security	533212 / Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	Regulation 31 (1) (b)
a. If under 31(1)(b) then indicate the report for Quarter ending	30-09-2020
b. If under 31(1)(c) then indicate date of allotment/extinguishment :	
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	
Particulars	Yes*/No*
1 Whether the Listed Entity has issued any partly paid up shares?	No
2 Whether the Listed Entity has issued any Convertible Securities?	No
3 Whether the Listed Entity has issued any Warrants?	No
4 Whether the Listed Entity has any shares against which depository receipts are issued?	No
5 Whether the Listed Entity has any shares in locked-in?	Yes
6 Whether any shares held by promoters are pledge or otherwise encumbered?	No
7. Whether company has equity shares with differential voting rights?	No
8. Whether the listed entity has any significant beneficial owner?	No
* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.	

Name of the Company : GKB Ophthalmics Ltd.
 Script Code : Equity
 As on : 30-09-2020

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of (A+B+ C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:X	Class eg:Y	Total								
(A)	Promoter & Promoter Group	6	2471935			2471935	49.04	2471935		2471935	49.04	1731095	70.03				2471935	
(B)	Public	1182	2568645			2568645	50.96	2568645		2568645	50.96	200000	7.79				2510120	
(C)	Non Promoter- Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	Total	1188	5040580			5040580	100	5040580		5040580	100	1931095	38.31				4982055	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)		
								Class X	Class Y	Total									
-1	Indian		0	0		0		0											
(a)	Individuals/Hindu undivided Family	6	2471935	0		2471935	49.04	2471935		2471935	49.04	49.04	1731095	70.03					2471935
	KRISHNAGOPAL GUPTA AND SONS HUF	1	197623	0		197623	3.92	197623		197623	3.92	3.92	0	0.00					197623
	SHEFALI CHAWLA	1	242464	0		242464	4.81	242464		242464	4.81	4.81	0	0.00					242464
	VEENA GUPTA	1	300753	0		300753	5.97	300753		300753	5.97	5.97	0	0.00					300753
	GAURAV GUPTA	1	407966	0		407966	8.09	407966		407966	8.09	8.09	407966	100.00					407966
	VIKRAM GUPTA	1	423105	0		423105	8.39	423105		423105	8.39	8.39	423105	100.00					423105
	KRISHNA GOPAL GUPTA	1	900024	0		900024	17.86	900024		900024	17.86	17.86	900024	100.00					900024
(b)	Central Government/ State Government(s)		0	0		0		0		0									
(c)	Financial Institutions/ Banks		0	0		0		0		0									
(d)	Any Other (specify)		0	0		0		0		0									
Sub-Total (A)(1)		6	2471935	0		2471935	49.04	2471935		2471935	49.04	49.04	1731095	70.03					2471935
-2	Foreign		0	0		0		0		0									
(a)	Individuals (Non Resident Individuals/ Foreign Individuals)		0	0		0		0		0									
(b)	Government		0	0		0		0		0									
(c)	Institutions		0	0		0		0		0									
(d)	Foreign Portfolio Investor		0	0		0		0		0									
(e)	Any Other (specify)		0	0		0		0		0									
Sub-Total (A)(2)			0	0		0		0		0									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	2471935	0		2471935	49.04	2471935		2471935	49.04	49.04	1731095	70.03					2471935
Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s).(2) The term Encumbrance has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.																			

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C 2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	ClassY	Total								
-1	Institutions		0	0		0		0										
(a)	Mutual Funds		0	0		0		0										
(b)	Venture Capital Funds		0	0		0		0										
(c)	Alternate Investment Funds		0	0		0		0										
(d)	Foreign Venture Capital Investors		0	0		0		0										
(e)	Foreign Portfolio Investors	1	100000	0		100000	1.98	100000	100000	1.98		1.98	0	0			100000	
	POLUS GLOBAL FUND	1	100000	0		100000	1.98	100000	100000	1.98		1.98	0	0			100000	
(f)	Financial Institutions/ Banks	1	19600	0		19600	0.39	19600	19600	0.39		0.39	0	0			19600	
(g)	Insurance Companies		0	0		0		0	0									
(h)	Provident Funds/ Pension Funds		0	0		0		0	0									
(i)	Any Other (specify)		0	0		0		0	0									
	Sub-Total (B)(1)		2	119600		119600	2.37	119600	119600	2.37		2.37	0	0			119600	
-2	Central Government/ State Government(s)/ President of India		0	0		0		0	0									
	Sub-Total (B)(2)		0	0		0		0	0									
-3	Non-institutions		0	0		0		0	0									
(a(i))	Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	1060	470337	0		470337	9.33	470337	470337	9.33		9.33	0	0			411812	
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	16	1271711	0		1271711	25.23	1271711	1271711	25.23		25.23	0	0			1271711	
	JYOTIVARDHAN JAIPURIA	1	66667	0		66667	1.32	66667	66667	1.32		1.32	0	0			66667	
	RAJ KUMAR AGARWAL	1	66667	0		66667	1.32	66667	66667	1.32		1.32	0	0			66667	
	LALIT KUMAR GUPTA	1	70500	0		70500	1.4	70500	70500	1.4		1.4	0	0			70500	
	SANJIV KUMAR GUPTA	1	70500	0		70500	1.4	70500	70500	1.4		1.4	0	0			70500	
	USHA GUPTA .	1	114707	0		114707	2.28	114707	114707	2.28		2.28	0	0			114707	
	BRIJENDRA KUMAR GUPTA	1	244950	0		244950	4.86	244950	244950	4.86		4.86	0	0			244950	
	KRISHNA MURARI GUPTA	1	328207	0		328207	6.51	328207	328207	6.51		6.51	0	0			328207	
(b)	NBFCs registered with RBI	2	1500	0		1500	0.03	1500	1500	0.03		0.03	0	0			1500	
(c)	Employee Trusts		0	0		0		0	0									
(d)	Overseas Depositories (holding DRs) (balancing figure)		0	0		0		0	0									
(e)	Any Other (specify)	102	705497	0		705497	14	705497	705497	14		14	200000	28.35			705497	
	Clearing Members	7	276	0		276	0.01	276	276	0.01		0.01	0	0			276	
	RAJIV JHUNJHUNWALA	1	66668	0		66668	1.32	66668	66668	1.32		1.32	0	0			66668	
	RAVIRAJ DEVELOPERS LTD	1	67899	0		67899	1.35	67899	67899	1.35		1.35	0	0			67899	
	HUF	46	68126	0		68126	1.35	68126	68126	1.35		1.35	0	0			68126	
	Non-Resident Indian (NRI)	13	70189	0		70189	1.39	70189	70189	1.39		1.39	0	0			70189	
	DHWAJA COMMODITY SERVICES PRIVATE L	1	72306	0		72306	1.43	72306	72306	1.43		1.43	0	0			72306	
	Overseas Corporate Bodies	1	200000	0		200000	3.97	200000	200000	3.97		3.97	200000	100			200000	
	SOMO VISION CO. LTD.	1	200000	0		200000	3.97	200000	200000	3.97		3.97	200000	100			200000	
	Bodies Corporate	35	366906	0		366906	7.28	366906	366906	7.28		7.28	0	0			366906	
	Sub-Total (B)(3)		1180	2449045		2449045	48.59	2449045	2449045	48.59		48.59	200000	8.17			2390520	
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		1182	2568645		2568645	50.96	2568645	2568645	50.96		50.96	200000	7.79			2510120	
Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.Note:(1) PAN would not be displayed on website of Stock Exchange(s). (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares. (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian,																		

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
								Class X	ClassY	Total								
1	Custodian/DR Holder																	
(a)	Name of DR Holder (if available)																	
	Sub-Total [C](1)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
(a)	Name (abc...																	
	Sub-Total [C](2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Non-Promoter- Non Public Shareholding (C)=[C](1) +[C](2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Note (1) PAN would not be displayed on website of Stock Exchange(s).(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available,																		

Table V - Statement showing of significant beneficial owners (SBOs)						
Sr. No.	Details of the significant beneficial owners (i)		Details of the registered owner (ii)		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect : (iii)	Date of creation / acquisition of significant beneficial interest (iv)
	Name	Nationality	Name	Nationality	Shareholding as a % of total no of shares (calculated as per SCRR, 1957 As as % of (A+B+C2)	